UNITED STATES PATENT APPLICATION

FOR

FAST LIFETIME ANALYSIS OF OBJECTS IN A GARBAGE-COLLECTED SYSTEM

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ASSIGNED TO:

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SPECIFICATION

TITLE OF INVENTION

FAST LIFETIME ANALYSIS OF OBJECTS IN A GARBAGE-COLLECTED SYSTEM

FIELD OF THE INVENTION

[0001]   The present invention relates to the field of computer science. More particularly, the present invention relates to determining the lifetime of objects in a garbage-collected system.

BACKGROUND OF THE INVENTION

[0002]   In computer science, garbage collection refers to the recovery of pooled computer storage that is being used by a program when that program no longer needs the storage. The garbage collection routine searches memory for data that are no longer active in order to reclaim the space. This frees the storage for use by other programs (or processes within a program). It also ensures that a program using increasing amounts of pooled storage does not reach a quota.

[0003]   Garbage collection routines are typically encoded when a program is created. In some cases, the routines may even be embedded into hardware or flash memory. Thus, it is increasingly important to verify that the most efficient and accurate garbage collection routines are found before they are implemented, as modification after implementation may be difficult or impossible. Since different programs have different optimal garbage collection routines, it is important to test garbage collection techniques on specific programs before implementation.

[0004]   Performance analysis of the garbage collection technique during creation or fine-

tuning allows the developer to test the efficiency and accuracy of the technique. Typically, the

lifetime of the objects is measured by forcing a complete garbage collection after each mutation

of the object graph. FIG. 1 is a diagram illustrating an example of an object graph. Roots 100,

102 may point to objects 104, 106. Each time the object graph changes, there is the potential that

one or more of the objects may "die". An object is considered dead if it is no longer reachable

from a root. Forcing a garbage collection after each mutation fully updates the system, thus

information on when an object dies can easily be recorded.

[0005]   Additionally, it is often useful to have precise lifetime information in order to analyze

the program itself, such as by providing a histogram of the lifetimes of various objects within the

program.

[0006]   However, measuring the lifetime of each object in this manner is fairly processor-

intensive. The time it takes to run a garbage collection grows in proportion to the size of the

object graph. In order to avoid this overhead, it is typical to only force a garbage collection

periodically, for example after every thousand mutations. However, there is significant loss in

precision in the lifetime analysis when this periodic measurement is undertaken.

[0007]   What is needed is a solution for effectively and efficiently computing precise object

lifetimes.

BRIEF DESCRIPTION OF THE INVENTION

[0008]   The analysis of the lifetime of objects in a garbage-collected system may be accomplished quickly and effectively using reference counts and cyclic garbage analysis. A reference count is maintained for each of the objects to indicate the number of incoming pointers. Each time the graph structure is altered, the reference counts are updated. Timestamps are recorded each time the reference count for objects change. If a reference count goes to zero, the corresponding object may be indicated as dead. A garbage collection need only be run once (perhaps at the end), and after it is run the system may indicate which objects are cyclic garbage. The timestamps for objects which are cyclic garbage are then reviewed in reverse chronological order. For each timestamp found, the corresponding object and any object reachable from the corresponding object are indicated as dead. These objects are then removed from the set of cyclic garbage.

BRIEF DESCRIPTION OF THE DRAWINGS

[0009]   The accompanying drawings, which are incorporated into and constitute a part of this

specification, illustrate one or more embodiments of the present invention and, together with the

detailed description, serve to explain the principles and implementations of the invention.

[0010]   In the drawings:

FIG. 1 is a diagram illustrating an example of an object graph.

FIG. 2 is a block diagram illustrating an object graph having reference counts in

accordance with a specific embodiment of the present invention.

FIG. 3 is a block diagram illustrating a dead cycle

FIG. 4 is a diagram illustrating a graph structure having a cycle within another cycle.

FIG. 5 is a flow diagram illustrating a method for measuring the lifetime of objects in a

garbage-collected system, the objects organized in a graph structure, in accordance with a

specific embodiment of the present invention.

FIG. 6 is a flow diagram illustrating a method for measuring the lifetime of objects in a

garbage-collected system, the objects organized in a graph structure, in accordance with a

specific embodiment of the present invention.

FIG. 7 is a block diagram illustrating an apparatus for measuring the lifetime of objects in a garbage-collected system, the objects organized in a graph structure, in accordance with a specific embodiment of the present invention.

FIG. 8 is a block diagram illustrating an apparatus for measuring the lifetime of objects in a garbage-collected system, the objects organized in a graph structure, in accordance with a specific embodiment of the present invention.

DETAILED DESCRIPTION

[0011]   Embodiments of the present invention are described herein in the context of a system of computers, servers, and software. Those of ordinary skill in the art will realize that the following detailed description of the present invention is illustrative only and is not intended to be in any way limiting. Other embodiments of the present invention will readily suggest themselves to such skilled persons having the benefit of this disclosure. Reference will now be made in detail to implementations of the present invention as illustrated in the accompanying drawings. The same reference indicators will be used throughout the drawings and the following detailed description to refer to the same or like parts.

[0012]   In the interest of clarity, not all of the routine features of the implementations described herein are shown and described. It will, of course, be appreciated that in the development of any such actual implementation, numerous implementation-specific decisions must be made in order to achieve the developer's specific goals, such as compliance with application- and business-related constraints, and that these specific goals will vary from one implementation to another and from one developer to another. Moreover, it will be appreciated that such a development effort might be complex and time-consuming, but would nevertheless be a routine undertaking of engineering for those of ordinary skill in the art having the benefit of this disclosure.

[0013]   In accordance with the present invention, the components, process steps, and/or data structures may be implemented using various types of operating systems, computing platforms, computer programs, and/or general purpose machines. In addition, those of ordinary skill in the

art will recognize that devices of a less general purpose nature, such as hardwired devices, field

programmable gate arrays (FPGAs), application specific integrated circuits (ASICs), or the like,

may also be used without departing from the scope and spirit of the inventive concepts disclosed

herein.

[0014]   A specific embodiment of the present invention may be executed using a Java™

Virtual Machine created by Sun Microsystems, Inc. of Palo Alto, California. However, one of

ordinary skill in the art will recognize that the present invention may be implemented using a

variety of different systems and need not be limited to specific examples provided in this

document.

[0015]   In a specific embodiment of the present invention, a solution is provided wherein time

stamps of mutations are tracked. When a garbage collection is run, the system may then

backtrack to determine when it was that certain objects became garbage. This provides

extremely accurate lifetime measurements for use in garbage collection technique analysis. It

also significantly reduces processor time as the garbage collection need only be run once (at the

end).

[0016]   It should be noted that while only one garbage collection needs to be run,

implementations are possible where multiple garbage collections are run. Additionally, it should

be noted that while in a specific embodiment of the present invention, the lifetime analysis is

only performed after all of the garbage collections have been run, embodiments are possible

where the lifetime analysis is performed after each individual garbage collection.

[0017]   In a specific embodiment of the present invention, reference counts are maintained

for each object in the object graph. Reference counts are values stored that indicate the number

of incoming pointers to an object. Reference counts are modified as the graph is modified, and

when a reference count goes to zero, it is clear the object is unreachable from the root (as it is

unreachable from any node). FIG. 2 is a block diagram illustrating an object graph having

reference counts in accordance with a specific embodiment of the present invention. Each object

200 has a stored reference count 202. When one of the links is modified and removed, the

reference count of the object to which it was pointing is decremented. If a reference count equals

zero, it means that it has no incoming pointers and thus is dead.

[0018]   However, reference counting alone does not always ensure accurate lifetime analysis.

This is because reference counting has trouble identifying dead cycles. A dead cycle is one in

which each object points to another object in the cycle, but no incoming links enter the cycle

itself. FIG. 3 is a block diagram illustrating a dead cycle. This diagram is identical to FIG. 2,

except the incoming link to cycle 300 has been removed and the corresponding reference count

of 302 has changed accordingly. However, none of the reference counts for 302, 304, or 306 are

equal to zero. Therefore, reference counting alone would not recognize that 302, 304, and 306

are garbage.

[0019]   In a specific embodiment of the present invention reference counting is utilized to

determine when normal objects die. However, in the special case of objects which are part of

cycles, a specialized technique is used wherein the timestamps of changes to the cycles are

examined in reverse chronological order in order to determine when objects within a cycle die. This is most efficiently accomplished at the time of a garbage collection. Therefore, as a graph structure changes, timestamps record the changes and reference counting may be used to tell when objects die. Then, when a garbage collection is run, the timestamps corresponding to the remaining objects still listed as alive are examined and an object is listed as dead if its reference count is zero or it is cyclic garbage. After garbage collection is run, the system may then go back through the objects listed as dead and label any object with a reference number higher than zero as cyclic garbage. One of ordinary skill in the art will recognize, however, that the garbage collection and the cyclic garbage labeling could be combined into a single step.

[0020]   The timestamps are then examined in reverse chronological order, thus the last change to an object within the cycle will be encountered first. The timestamp of the last change to an object within a cycle is deemed to be the timestamp at which all objects in the cycle died. Therefore, all objects in that cycle are listed as dead as of that time, and then all the timestamps corresponding to objects in that cycle are removed from the list of remaining timestamps to be examined. Eventually, all the cycles will have been examined and a precise timestamp of when each dies will have been indicated. This ensures that both cyclic and non-cyclic garbage have accurate lifetime calculations.

[0021]   The reason for removing all objects listed as dead from the list of objects which are garbage is so that the lifetimes are accurately computed for all objects within the cycle as well as to handle cases where cycles may be contained within other cycles. FIG. 4 is a diagram illustrating a graph structure having a cycle within another cycle. This figure has the cycles

identified. Cycle 400 may be contained within larger cycle 402. If the link from 404 to 406 is

removed, suddenly entire cycle 402 is dead. However, if just the link from 408 to 410 is

removed, only cycle 400 is dead, and objects 406, 408, and 412 are still alive and remain in cycle

402.

[0022]   FIG. 5 is a flow diagram illustrating a method for measuring the lifetime of objects in

a garbage-collected system, the objects organized in a graph structure, in accordance with a

specific embodiment of the present invention. At 500, a reference count is maintained for each

of the objects, the reference count indicating the number of incoming pointers to each object. At

502, the reference counts are updated each time the graph structure is altered. At 504, a

timestamp is recorded for an object each time the reference count for the object changes. The

timestamp may be a counter that is incremented on every pointer store. It may be stored in a

record generated each time a change is made to the graph structure. At 506, an object is

indicated as dead when its reference count goes to zero. At 508, a garbage collection is

executed, the garbage collection indicating one or more objects which are cyclic garbage. A

tracing collector may be utilized to detect when objects are cyclic garbage. Specifically, a mark-

sweep collector may be used. Then, at 510 the timestamps for each of the objects which are

cyclic garbage are reviewed in reverse chronological order. For each timestamp found, 512-516

are executed. At 512, the object corresponding to the timestamp is indicated as dead. At 514,

any object reachable from the object corresponding to the timestamp is also indicated as dead.

Then, at 516, any objects that have been indicated as dead are removed from the objects which

are cyclic garbage. The lifetime of the object may then be the period between the time it is

created and the time it dies, the time it dies being measured by when its corresponding reference

count goes to zero or the time it was indicated the object was dead. The reviewing may be repeated each time a garbage collection is executed.

[0023]   FIG. 6 is a flow diagram illustrating a method for measuring the lifetime of objects in a garbage-collected system, the objects organized in a graph structure, in accordance with a specific embodiment of the present invention. In this embodiment, the reference counts are only examined when the garbage collection is executed. Thus, it is not necessary for a reference count to be maintained for each object. Only reference count decrements need to be recorded, and the timestamps are adequate to convey that information. Thus, at 600, a timestamp is recorded for an object each time the reference count for the object is decremented. The timestamp may be a counter that is incremented on every pointer deletion. It may be stored in a record generated each time a change is made to the graph structure. At 602, a garbage collection is executed, the garbage collection indicating one or more objects which are cyclic garbage. A tracing collector may be utilized to detect when objects are cyclic garbage. Specifically, a mark-sweep collector may be used. Then, at 604 the timestamps for each of the objects which are cyclic garbage are reviewed in reverse chronological order. For each timestamp found, at 606, the object corresponding to the timestamp is indicated as dead. At 608, any object reachable from the object corresponding to the timestamp is also indicated as dead. Then, at 610, any objects that have been indicated as dead are removed from the objects which are cyclic garbage. The lifetime of the object may then be the period between the time it is created and the time it dies, the time it dies being measured by the time it was indicated the object was dead. The reviewing may be repeated each time a garbage collection is executed.

[0024]   FIG. 7 is a block diagram illustrating an apparatus for measuring the lifetime of

objects in a garbage-collected system, the objects organized in a graph structure, in accordance

with a specific embodiment of the present invention. A memory 700 may be used to store the

objects and other data structures. A reference count maintainer 702 coupled to the memory 700

maintains a reference count for each of the objects, the reference count indicating the number of

incoming pointers to each object. A reference count updater 704 coupled to the reference count

maintainer 702 updates the reference counts each time the graph structure is altered. A

timestamp recorder 706 coupled to the memory 700 and to the reference count updater 704

records a timestamp for an object each time the reference count for the object changes. The

timestamp may be a counter that is incremented on every pointer store. It may be stored in a

record generated each time a change is made to the graph structure. A dead object indicator 708

coupled to the reference count updater 704 and to the memory 700 indicates an object as dead

when its reference count goes to zero. A garbage collector 710 coupled to the memory 700

executes a garbage collection, the garbage collection indicating one or more objects which are

cyclic garbage. A tracing collector may be utilized to detect when objects are cyclic garbage.

Specifically, a mark-sweep collector may be used. A reverse chronological order timestamp

reviewer 712 coupled to garbage collector 710 reviews the timestamps for each of the objects

which are cyclic garbage in reverse chronological order. For each timestamp found, the reverse

chronological order timestamp reviewer 712 executes several actions with subcomponents. The

reverse chronological order timestamp reviewer 712 has a dead object timestamp indicator 714,

which indicates that the object corresponding to the timestamp is dead. A dead reachable object

indicator 716 indicates any object reachable from the object corresponding to the timestamp is

dead. A dead object cyclic garbage remover 718 coupled to the dead timestamp object indicator

714 and the dead reachable object indicator 716 removes any objects that have been indicated as

dead from the objects which are cyclic garbage. The lifetime of the object may then be the

period between the time it is created and the time it dies, the time it dies being measured by when

its corresponding reference count goes to zero or the time it was indicated the object was dead.

The reviewing may be repeated each time a garbage collection is executed.

[0025]   FIG. 8 is a block diagram illustrating an apparatus for measuring the lifetime of

objects in a garbage-collected system, the objects organized in a graph structure, in accordance

with a specific embodiment of the present invention. In this embodiment, the reference counts

are only examined when the garbage collection is executed. Thus, it is not necessary for a

reference count to be maintained for each object. A memory 800 may be used to store the

objects and other data structures. A timestamp recorder 802 coupled to the memory 800 records

a timestamp for an object each time the reference count for the object is decremented. The

timestamp may be a counter that is incremented on every pointer deletion. It may be stored in a

record generated each time a change is made to the graph structure. A garbage collector 804

coupled to the memory 800 executes a garbage collection, the garbage collection indicating one

or more objects which are cyclic garbage. A tracing collector may be utilized to detect when

objects are cyclic garbage. Specifically, a mark-sweep collector may be used. A reverse

chronological order timestamp reviewer 806 coupled to garbage collector 804 reviews the

timestamps for each of the objects which are cyclic garbage in reverse chronological order. For

each timestamp found, the reverse chronological order timestamp reviewer 808 executes several

actions with subcomponents. A dead object timestamp indicator 810 indicates that the object

corresponding to the timestamp is dead. A dead reachable object indicator 812 indicates any

object reachable from the object corresponding to the timestamp is dead. A dead object cyclic

garbage remover 814 coupled to the dead timestamp object indicator 810 and the dead reachable

object indicator 812 removes any objects that have been indicated as dead from the objects

which are cyclic garbage. The lifetime of the object may then be the period between the time it

is created and the time it dies, the time it dies being measured by when its corresponding

reference count goes to zero or the time it was indicated the object was dead. The reviewing

may be repeated each time a garbage collection is executed.

[0026]   While embodiments and applications of this invention have been shown and

described, it would be apparent to those skilled in the art having the benefit of this disclosure that

many more modifications than mentioned above are possible without departing from the

inventive concepts herein. The invention, therefore, is not to be restricted except in the spirit of

the appended claims.